Attorney & Docket No. 42P14248X

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Remus Nicolaescu

Examiner: Not Yet Assigned

Application No. 10/603,379

Art Unit: 2835

Filed: June 24, 2003

For: POLARIZATION INSENSITIVE

METHOD AND APPARATUS FOR SWITCHING AND MODULATING

AN OPTICAL BEAM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

XX 37 C.F.R. §1.97(b).
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A statement pursuant to 37 C.F.R. §1.97(e) or
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If there are any additional charges, please charge Deposit Account No.
02-2666.
Respectfully submitted,
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Dated: 10-21-03 James Y. Go. Reg. No. 40,621
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Substitute for Form 1449/PTO					Complete if Known			
INFORMATION DISCLOSURE					Application Number	10/603,379		
				Filing Date	June 24, 2003			
	STAT		ENTOBY ARPLICAN	First Named Inventor:	Nicolaescu et al.			
(use as many sheets as necessary) OCT 2 3 2003					Art Unit	2835		
					Examiner Name	Unknown		
Sheet	1		of	1	Attorney Docket Number	42P14248X		
U.S. PATENT DOCUMENTS								
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Examiner Initials*	Cite No.1		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant		
		Num	ber-Kind Code ² (If known)			Passages or Relevant Figures Appear		
	1	US-	5,908,305	06/01/1999	Crampton et al.			
	2	US-	5,159,699	10/27/1992	de Monts			
	3	US-	5,125,065	06/23/1992	Stoll et al.			
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	Т°
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	, m. m. v 7.4 4	Figures Appear	
	4	WO 00/72064 A1	11/30/2000	NANOVATION TECHNOLOGIES, INC.		
					-	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissi ner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.